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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/667,193	09/19/2003	Andrew H. Segal	11111/2003G

CONFIRMATION NO. 6811

FORMALITIES LETTER



\*OC000000011734005\*

29933  
PALMER & DODGE, LLP  
KATHLEEN M. WILLIAMS  
111 HUNTINGTON AVENUE  
BOSTON, MA 02199

Docketed Daren  
Response Due Missus Pato  
Statutory Period 3/22/04 (7/22/04)  
Palmer & Dodge LLP (ESP)  
Patent Department

Date Mailed: 01/22/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/22/2004 GWORD001 00000003 160085 10667193

FILED UNDER 37 CFR 1.53(b)

01 FC:2051 65.00 DA

*Filing Date Granted*

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after 65 FR 54604 (September 8, 2000), see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

B. Habtewold  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Atty. Docket No.: 11111/2003G PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Segal, et al.  
Serial No.: 10/667,193  
Filed: September 19, 2003  
Entitled: LECTIN COMPOSITIONS AND  
METHODS FOR MODULATING  
AN IMMUNE RESPONSE TO AN  
ANTIGEN

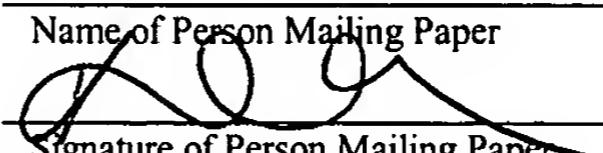
Examiner: Not Yet Assigned  
Group Art Unit: 2855  
Conf. No.: 6811

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8a**

I hereby certify that this correspondence (and any paper or fee referred to as being enclosed) is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kathleen M. Williams

Name of Person Mailing Paper

  
Signature of Person Mailing Paper

**Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**TRANSMITTAL LETTER**

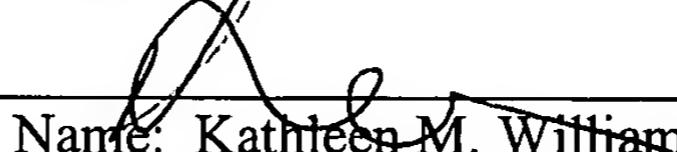
Enclosed for filing in the above-identified patent application, please find the following documents:

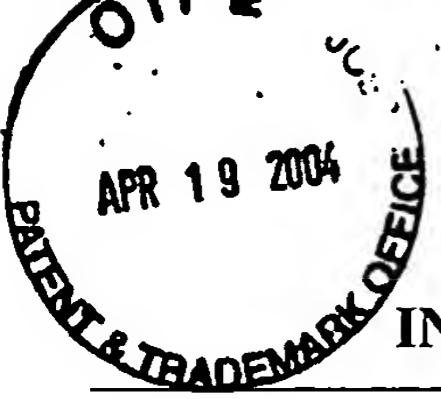
1. Response to Notice to File Missing Parts mailed January 22, 2004;
2. Copy of Notice to File Missing Parts- Part 2;
3. Copy of Executed Declaration from Parent;
4. Petition for Extension of Time;
5. Transmittal of Sequence Listing and Preliminary Amendment in Response to Notice to File Missing Parts;
6. Paper Copy of Sequence Listing;
7. Computer readable copy of the Sequence Listing; and
8. Return Post Card.

The Commissioner for Patents is hereby authorized to charge any fees to Deposit Account No. 16-0085, Reference 11111/2003G. A duplicate of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Date: April 15, 2004

  
Name: Kathleen M. Williams  
Registration No.: 34,380  
Customer No.: 29933  
Palmer & Dodge LLP  
111 Huntington Avenue  
Boston, MA 02199-7613  
Tel: 617-239-0100



Atty. Docket No.: 11111/2003G PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Segal, et al.  
Serial No.: 10/667,193  
Filed: September 19, 2003  
Entitled: LECTIN COMPOSITIONS AND  
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ANTIGEN

Examiner: Not Yet Assigned  
Group Art Unit: 2855  
Conf. No.: 6811

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Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION**

Sir:

This is filed in response to the Notice to File Missing Parts of Non-Provisional Application mailed January 22, 2004.

1. The Notice stated that the Declaration is missing.

A copy of the executed Declaration/Power of Attorney from the parent application, Serial No. 10/645,000, is enclosed.

2. The Notice stated that the surcharge for late filing fee for declaration is required. The Commissioner for Patents is hereby authorized to charge any fees to Deposit Account No. 16-0085, Reference 11111/2003G which includes:

- a) \$65.00 surcharge for late filing fee for declaration; and
- d) \$55.00 extension of time.

3. The Notice stated that the application fails to comply with the requirements of 37 C.F.R. 1.821-1.825 and states that a paper copy and computer readable form of the Sequence Listing must be submitted as required.

Serial No.: 10/667,193  
Filed: September 19, 2003

A sequence listing is hereby submitted in paper and computer readable formats, as well as an amendment directing said sequence listing's entry into the specification.

Date: April 5, 2004

Respectfully submitted,

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Name: Kathleen Williams  
Registration No.: 34,380  
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Palmer & Dodge LLP  
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Boston, MA 02199-7613  
Tel: 617-239-0100